

NALWA SONS INVESTMENTS LIMITED

Regd. Office: 28, Najafgarh Road,
Moti Nagar Industrial Area,
Delhi – 110 015, India
CIN: L65993DL1970PLC146414

October 15, 2016

BSE Ltd.
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P J Towers,
Dalai Street, Fort, Mumbai – 400 001
022 - 2272 3121, 2037, 2041,
3719, 2039, 2272 2061
corp.relations@bseindia.com
Security Code No.: 532256

National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor,
Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra(E),
Mumbai-400051
022 -2659 8237, 8238, 8347, 8348
cmist@nse.co.in

Security Code No. : NSIL

Dear Sir/Ma'am,

Sub: Compliance of Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed following reports:

- i) Compliance Report on Corporate Governance for the quarter ending on September 30, 2016.
- ii) Compliance Report on Corporate Governance at the end of six months after end of financial year.

We request you to note the same and acknowledge the receipt of this letter.

Thanking You.

Yours Faithfully,
For **Nalwa Sons Investments Limited**


(Raghav Sharma)
Company Secretary



Encl: A/a

COMPLIANCE REPORT ON CORPORATE GOVERNANCE

[Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

Name of the Listed Entity	Nalwa Sons Investments Limited								
Quarter Ending	September 30, 2016								
I.	Composition of Board of Directors								
Sr. No.	Title (Mr./ Ms.)	Name of the Director	PAN ^s & DIN	Category (Chairperson/ Executive/ Non- Executive/ Independent/ Nominee) ^{&}	Date of Appointment in the current term / (cessation)	Tenure*	No. of Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
1	Mr.	Suresh Jindal	ABCPJ2931J 07541893	Executive- Non Independent	01/07/2016	NA	--	(Refer Regulation 26(1) of Listing Regulations)	--
2	Mr.	Rajinder Parkash Jindal	AAVPJ9552B 00004594	Independent- Non Executive	29/09/2014	24 months	1	(Refer Regulation 26(1) of Listing Regulations)	1



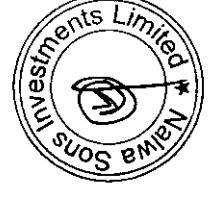
3	Mr.	Ram Gopal Garg	ABCPG8373M 00004517	Independent- Non Executive	29/09/2014	24 months	1	1	1
4	Mr.	Rakesh Kumar Garg	ABKPG0293N 00038580	Independent- Non Executive	29/09/2014	24 months	1	2	--
5	Mr.	Shailesh Goyal	ALHPG8755E 03547239	Independent- Non Executive	25/03/2015	18 months	1	3	--
6	Ms.	Vaishali Deshmukh	AJFPD1329R 07133868	Independent- Non Executive	25/03/2015	18 months	1	--	--

\$ PAN number of any director would not be displayed on the website of Stock Exchange.

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

* To be filed only for Independent Director. Tenure would mean total period from which Independent Director is serving on Board of Directors of the Listed Entity in continuity without any cooling off period.

II. Composition of Committees									
Sr. No.	Name of Committee	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)						
1	Audit Committee	Mr. Rajinder Parkash Jindal Mr. Ram Gopal Garg Mr. Rakesh Kumar Garg	Chairperson, Independent Member, Independent Member, Independent						
2	Nomination and Remuneration Committee	Mr. Ram Gopal Garg Mr. Rajinder Parkash Jindal Mr. Rakesh Kumar Garg	Chairperson, Independent Member, Independent Member, Independent						
3	Stakeholders Relationship Committee	Mr. Ram Gopal Garg Mr. Rajinder Parkash Jindal Mr. Rakesh Kumar Garg	Chairperson, Independent Member, Independent Member, Independent						
4	Risk Management Committee (if applicable)	Not applicable	Not applicable						



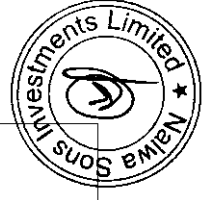
III. Meeting of Board of Directors		Maximum gap between any two consecutive meetings in number of days
Date(s) of meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	
April 18, 2016	Tuesday, August 09, 2016	39 days
May 30, 2016		
June 30, 2016		

IV. Meeting of Committees				
Name of Committee	Date(s) of meeting (if any) in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee	Tuesday, August 09, 2016	Yes 3 / 3	May 30, 2016	70 days
Nomination and Remuneration Committee	-	-	June 20, 2016	--
Stakeholders Relationship Committee	Tuesday, August 09, 2016	Yes 3/3	May 30, 2016	NA

*This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

V. Related Party Transactions		Compliance Status (Yes/No/NA)
Subject		Yes
Whether prior approval of audit committee obtained		Yes
Whether shareholder approval obtained for material RPT		Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		Yes


If status is "No" details of non-compliance: NA

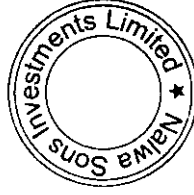


VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. The report submitted in the previous quarter has been placed before Board of Directors. This report will be placed in the ensuing Board Meeting. Any comments/observations/advice of Board of Directors may be mentioned here.

For Nalwa Sons Investments Limited



(Raghav Sharma)
Company Secretary



COMPLIANCE REPORT ON CORPORATE GOVERNANCE

(AT THE END OF SIX MONTHS AFTER END OF FINANCIAL YEAR)

[Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

Name of the Listed Entity	Nalwa Sons Investments Limited		
Quarter Ending	September 30, 2016		
I. Affirmations			
Broad Heading	Regulation Number	Compliance Status (Yes/No/NA) ^{refer note below}	
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Note			
<p>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated.</p> <p>2. If status is "No" details of non-compliance may be given here.</p> <p>3. <i>Chairman of the Committee was not present due to pre-occupation. Member of committee duly authorized by Chairman of Committee was present to answer the queries of shareholders. All queries were answered to the full satisfaction of shareholders.</i></p> <p>3. If the Listed Entity would like to provide any other information the same may be indicated here.</p>			
For Nalwa Sons Investments Limited			
 (Raghav Sharma) Company Secretary			
