

Introductory sub-table (I)(a)

Name of the Company: Nalwa Sons Investments Limited

Scrip Code, Name of the scrip, class of security: BSE: 532256, NSE: NSIL (Equity Shares)

Quarter ended: 30.9.2013

Partly paid - up shares	No. of partly paid -up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Outstanding Convertible Securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of convertible securities
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Warrnats:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	Rs.5,13,61,630/- divided into 51,36,163 equity shares of Rs.10/- each.		

Statement Showing Shareholding Pattern								
Table (I)(a)								
Category code	Category of shareholder	No. of shareholders	Total no. of shares	No. of shares held in dematerialized form	Total shareholding as a % of total no. of shares		Shares pledged or otherwise encumbered	As a % of (IX)=(VIII)/(IV)*100
					As a % of (A+B) (VI)	As a % of (A+B+C) (VII)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of promoter & promoter group							
	Indian							
(a)	Individuals /HUF	22	40,271	40,271	0.78	0.78	-	-
(b)	Central Govt./State Govt.	-	-	-	-	-	-	-
(c)	Bodies Corporates	19	2,811,889	*2799168	54.75	54.75	-	-
(d)	Financial Institutions /Banks	-	-	-	-	-	-	-
(e)	Any Other	-	-	-	-	-	-	-
	Sub Total (A)(1)	41	2,852,160	2,839,439	55.53	55.53	-	-
2	Foreign							
(a)	Individuals (Non Resident Individuals/Foreign Ind)	1	4,250	4,250	0.08	0.08	-	-
(b)	Bodies Corporates	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any other	-	-	-	-	-	-	-
	Sub Total (A)(2)	1	4,250	4,250	0.08	0.08	-	-
	Total shareholding of promoter and promoter group (A) = (A)(1)+(A)(2)	42	2,856,410	2,843,689	55.61	55.61	-	-
(B)	Public shareholding						N.A.	N.A.
1	Institutions						N.A.	N.A.
(a)	Mutual Funds /UTI	11	1,358	523	0.03	0.03	-	-
(b)	Financial institutions /Banks	12	1,312	193	0.03	0.03	-	-
(c)	Central Govt. /State Govt.	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	2	28,126	28,126	0.55	0.55	-	-
(f)	Foreign Institutional investors	10	216,901	216,200	4.22	4.22	-	-
(g)	Foreign Venture capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-
(i)	Any other	-	-	-	-	-	-	-
	Sub Total (B) (1)	35	247,697	245,042	4.82	4.82	-	-
B2	Non Institutions						N.A.	N.A.
(a)	Bodies Corporates	337	143,990	139,875	2.80	2.80	-	-
(b)	Individuals							
I	Individuals shareholders holding nominal share capital up to Rs. 1 lakh	24,556	721,761	500,776	14.05	14.05	-	-
II	Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh	5	1,166,305	1,166,305	22.71	22.71	-	-
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-
(d)	Any other	-	-	-	-	-	-	-
	Sub Total (B) (2)	24,898	2,032,056	1,806,956	39.56	39.56	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	24,933	2,279,753	2,051,998	44.39	44.39	N.A.	N.A.
	Total (A)+(B)	24,975	5,136,163	4,895,687	100.00	100.00	-	-
(C)	Shares held by custodians and against which GDS have been issued						N.A.	N.A.
1	Promoter & promoter group						-	-
2	Public						-	-
	Grand Total (A)+(B)+(C)	24,975	5,136,163	4,895,687	100.00	100.00	-	-

Note: *12721 equity shares (28,11,889 - 2799168) held by bodies corporate as shown under Indian promoter group in physical mode are sub-judice and therefore could not been dematerialized till further direction of the Hon'ble Court.

(l)(c)(i) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares**

Sr.	Name of shareholder	Details of Shares held		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of Convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RICKY ISHWARDAS KIRPLANI	405,511	7.90	-	-	-	-	7.90
2	KESWANI HARESH	304,830	5.93	-	-	-	-	5.93
3	ANIL ARYA	249,285	4.85	-	-	-	-	4.85
4	VAISHALLI ARYA	193,316	3.76	-	-	-	-	3.76
5	ACACIA PARTNERS LP	79,236	1.54	-	-	-	-	1.54
6	ACACIA INSTITUTIONAL PARTNERS, LP	89,082	1.73	-	-	-	-	1.73
7	IL AND FS TRUST CO LTD	96,512	1.88	-	-	-	-	1.88
	TOTAL	1,417,772	27.60	-	-	-	-	27.60

(l)(c)(ii) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company**

Sr.	Name(s) of the shareholder(s) and the Person acting in Concert (PAC) with them	Details of Shares held		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of Convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RICKY ISHWARDAS KIRPLANI (alongwith PAC)	818,813	15.94	-	-	-	-	15.94
	Keswani Haresh - 3,04,830							
	Ricky Ishwardas Kirplani - 4,05,511							
	Johnny Kirplani - 3,176							
	Alisha Kirplani - 5,584							
	Pooja Vijay Assomull - 3,200							
	IL AND FS Trust Co. Ltd. - 96512							
	Total - 8,18,813							
2	ANIL ARYA (alongwith PAC)	442,601	8.62	-	-	-	-	8.62
	Anil Arya - 2,49,285							
	Vaishalli Arya - 1,93,316							
	Total - 4,42,601							
	TOTAL	1,261,414	24.56	-	-	-	-	24.56

(1)(d) Statement showing details of locked in shares

Sr. no.	Name of shareholder	No. of locked in shares	Locked in shares as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above	Promoter / Promoter Group /Public
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. no.	Type of outstanding DR (ADRs, GDRs , SRDs, etc.	No. of outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

Sr. no.	Name of DR holder	Type of outstanding DR (ADRs, GDRs SDR, etc.)	No. of underlying outstanding shares	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

III (a) Statement showing the voting pattern of the shareholders, if more than one class of shares /securities is issued by the issuer:

Category code	Category of shareholder	No. of voting right held in each class of security Class			Total voting right (III+IV+V) (VI)	Total voting Right I.e. (VI)	
		X (III)	Y (IV)	Z (V)		As a % of (A+B) (VII)	As a % of (A+B+C) (VIII)
(I)	(II)						
NOT APPLICABLE The Company has not issued any seperate class of shares							